

Volkswagen Settlement Indian Tribe Trust Program

Semi-Annual Report

This report is hereby presented to Wilmington Trust, as Trustee for the Volkswagen Diesel Emissions Environmental Mitigation Trust for Indian Tribe Beneficiaries (“the Tribal Trust”). The Beneficiary named below submits this report in compliance with the semiannual reporting requirements described in Section 5.3 of the Modified Trust Agreement, for the six-month period specified below.

Beneficiary Name: Mohegan Tribe of Indians of Connecticut

Funding Cycle: First Funding Cycle

Six-Month Period: 5/2/19 to 11/2/19

Lead Agency: Mohegan Environmental Protection Department

Lead Agency Contact: Jean E. McInnis, Mohegan Environmental Protection Dept

Summary of all Financial Costs (expended through Reporting Date): 10/8/19

Funding Source	Amount	Description
VW Settlement Funds	\$116,280	2019 Ford Bus, Starcraft Commercial F550 Clean Diesel
Tribal Funds	\$7882.77	CT Tax @ 7.75% and Registration Fees
EPA DERA Funds	0	

Beneficiary Reporting Obligations (per Section 5.3 of the Indian Tribe Trust)

Description of Status (include actual or projected termination date): Replacement Bus purchase requisition # 00890983-002, generated by Mohegan Sun on 5/8/19 generated a purchase order #775559 approved on 5/16/19 for vendor; School Lines Inc., 75 Ciro Road, North Branford, CT 06471 to purchase one 2019 Starcraft Commercial Ford F550, 28 passenger clean diesel bus. Mohegan Sun is waiting delivery expected by 11/18/19.

Development (planning work accomplished for the project): 2006 Blue Bird body style bus #31 (Mohegan Sun Asset Tag #0046290) and (VIN#1BAGNBXA76F239409) was rendered permanently disabled by Northeast Towing and Transport, LLC by drilling a 3” hole in the engine block (engine serial #46569898) (see attached pictures) while in the Transportation Department’s possession at 420 W. Thames Street, Norwich, CT. This vehicle (Mohegan Sun Asset Tag #0046290) was towed off site by Northeast Towing and Transport, LLC located at 563 W. Thames Street, Norwich, CT 06360 and permanently scrapped at Northeast Recyclers, 48 Boston Post Road, Willimantic, CT 06226. Body to be crushed and/or cut up in sections for recycle.

Implementation (purchases, construction/installation of infrastructure, etc.): On 10/8/19, vendor contact Scott Davis from School Lines, Inc. stated that the bus body was in production now with the manufacturer Starcraft and completion date is 11/11/19. The Ford Motor Company has completed the chassis. Therefore, the target delivery date to Mohegan Sun is the week of 11/18/19 per S. Davis.

Any modification to each approved Eligible Mitigation Action (including changes to equipment costs, tribal cost share, etc.): Yes, the tribal cost share rose from \$6983 as reported in Appendix D-4 Beneficiary Eligible Mitigation Action Certification to \$7882.77 based on the increased CT tax rate of 7.75% for a purchase over \$50,000 and a registration fee of \$900 added.

I, Jean E. McInnis, attest that the information provided in this report is true and correct and that the submittal of this report is made under penalty of perjury.

This report has been submitted to the Trustee on this day October, 10, 2019 , via the Intralinks online file sharing platform.

Signature: Jean E. McInnis

Manufacturer's Label for the Blue Bird Body
VIN#1BAGNBXA76F239409
Mohegan Sun Asset Tag #0046290

MOHEGAN SUN



0046290

MANUFACTURED BY
BLUE BIRD BODY COMPANY

DATE OF MFR. 03/06

SUITABLE TIRE - RIM CHOICE

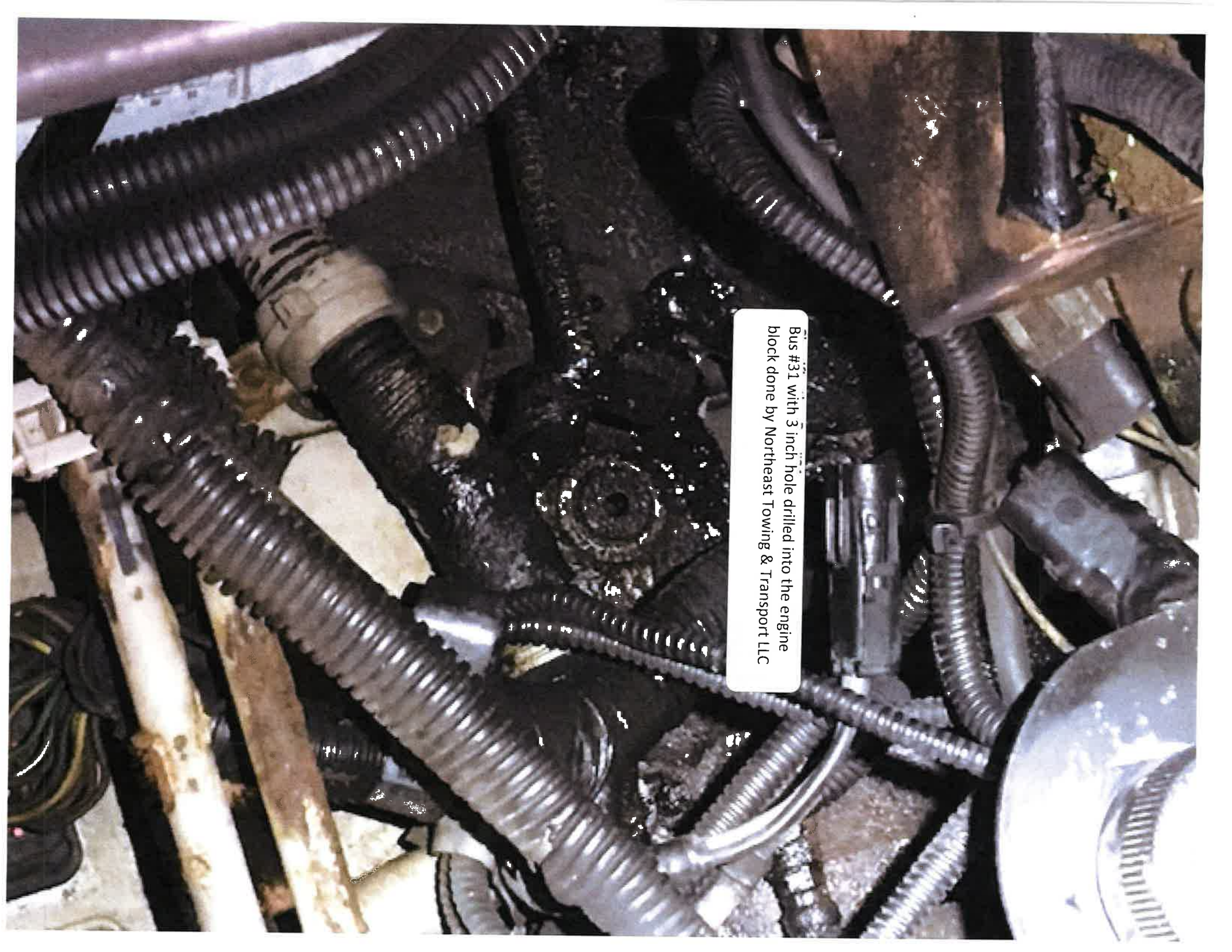
GVWR : 16425 KG (36200 LB)

GAWR : FRONT 5989 KG (13200 LB) WITH 275/70R22.5J TIRES
22.5X8.25 RIMS. AT 827 KPA (120 PSI) COLD SINGLE

GAWR : REAR 10436 KG (23000 LB) WITH 275/70R22.5J TIRES
22.5X8.25 RIMS. AT 827 KPA (120 PSI) COLD DUAL

THIS VEHICLE CONFORMS TO ALL APPLICABLE U.S.
FEDERAL MOTOR VEHICLE SAFETY STANDARDS IN
EFFECT ON THE DATE OF MANUFACTURE SHOWN ABOVE

V.I.N. 1BAGNBXA76F239409 TYPE CLASSIFICATION BUS #31



Bus #31 with 3 inch hole drilled into the engine block done by Northeast Towing & Transport LLC

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Beneficiary Name: Mohegan Tribe of Indians of CT

Funding Cycle: Second Funding Cycle

Six-Month Period: 10/29/19 to 4/29/20

Lead Agency: Mohegan Environmental Protection Department

Lead Agency Contact: Jean E. McInnis

Summary of all Financial Costs (expended through Reporting Date): 4/29/20

Funding Source	Amount	Description
VW Settlement Funds	\$158,721	2021 Blue Bird, All American Model T3FE 3800
Tribal Funds	\$45,573	CT Tax @ 7.75% and Deposit
EPA DERA Funds	0	

Beneficiary Reporting Obligations (per Section 5.3 of the Indian Tribe Trust)

Description of Status (include actual or projected termination date): Replacement bus purchase requisition #00916470 generated by Mohegan Sun on 12/16/19 generated a purchase order #00806097 approval on 12/19/19 for vendor; School Lines, Inc., 75 Ciro Road, North Branford, CT 06471 to purchase one 2021 Blue Bird 44 passenger T3FE 3800 with All American Chasis BB-T3FE at a total cost of \$204,294 including CT sales tax and 20% deposit. Termination delivery date estimated as June to School Lines, delivery to MS would be mid July 2020 after customization.

Development (planning work accomplished for the project): 2008 Blue Bird body style bus #36 (VIN#4DRBXAAN78A561155) diesel transit bus was rendered permanently disabled by Northeast Towing and Transport LLC by drilling a 3” hole in the engine block (engine serial #466HM2U2173717) Engine Model DT466, 7.6L while in the Transportation Department’s possession at 420 W. Thames Street, Norwich, CT. This vehicle, MS #41006 was towed off site by Northeast Towing and Transport, LLC located at 563 W. Thames Street, Norwich, CT 06360 and permanently scrapped at Northeast Recyclers, 48 Boston Post Road, Willimantic, CT 06226.

SCHOOL LINES, INC.
 75 CIRO ROAD
 203.488.1382 fax 203.481.2643
 NORTH BRANFORD CT 06471

Invoice

Customer Number	136130
Invoice No.	61838

Bill To:
 MOHEGAN TRIBAL GAMING AUTHORITY
 ATTN: ACCTS PAYABLE DEPT.
 1 MOHEGAN SUN BOULEVARD
 UNCASVILLE CT 06382

Ship To: MOHEGAN SUN CASINO-FLEET MAINTEN
 390 WEST THAMES ST.
 NORWICH CT 06360

Date	Salesperson	Order Date	Order No.	Purchase Order No.	Special Instructions
12/18/2019		12/18/2019		00806097	

Qty. Ordered	Qty. Shipped	U/M	Description / Stock Number	Unit Price	Amount
			DEPOSIT OF 20% FOR 2021 BLUE BIRD 44 PASSENGER ALL AMERICAN - F512467	\$34,049.00	\$34,049.00

CLER # 0130. 0299. 2020. C002. 0001 gl
Transportation

*OK
 Done
 Trans. CER.*

*not pay
 12/19/19*

Payment/Terms: UPON RECEIPT	Subtotal	\$34,049.00
website www.SchoolLinesinc.com	6.350% Tax Freight Charges	
Received By : x _____	Total Amount Due	\$34,049.00

1	4050051000	BASE MODEL T3FE 3800 ALL AMERICAN CHASSIS BB-T3FE AS PER SCHOOL LINES INC SALES QUOTE ID 179910 CER 0130 0299 2020 Q002 Q001	1.000	EACH	158000.00	EACH	158,000.00
2	4050051000	CALCULATED TAXES	1.000	EACH	12245.00	EACH	12,245.00
3	4050051000	DEPOSIT (20%)	1.000	EACH	34049.00	EACH	34,049.00
4	4050051000	DEPOSIT OFFSET	1.000	EACH	34049.00	EACH	34,049.00-

LINES	GROSS AMOUNT	FREIGHT AMOUNT	MISC. AMOUNT	TAX AMOUNT	DISCOUNT AMT	NET AMOUNT
4	170,245.00	.00	.00	.00	.00	170,245.00

FOR A COMPLETE LIST OF TERMS AND CONDITIONS PLEASE CHOOSE ONE OF THE FOLLOWING LINKS

MSCT: <http://newsroom.mohegansun.com/purchasing/General%20Purchase%20Order%20-%20Mohegan%20Sun.pdf>

MSPD: <http://newsroom.mohegansun.com/purchasing/General%20Purchase%20Order%20-%20MSPD.pdf>

All invoices should be emailed to the correct corresponding company.
Mohegan Sun CT - AP_inbox@mohegansun.com
Mohegan Sun @ Pocono Downs - accountspayable@mohegansunpocono.com

Purchase order # or Release # must be on all invoices or packing slips.
Consolidation of invoices is preferred.

For MSCT and MSP only: If you are interested in signing up for Paymode payments please click on the following link.

<http://newsroom.mohegansun.com/purchasing/Paymode.pdf>

Mohegan Sun's Award-Winning "Back of House" is Back for More!

Click the link below to learn more about this exciting show.

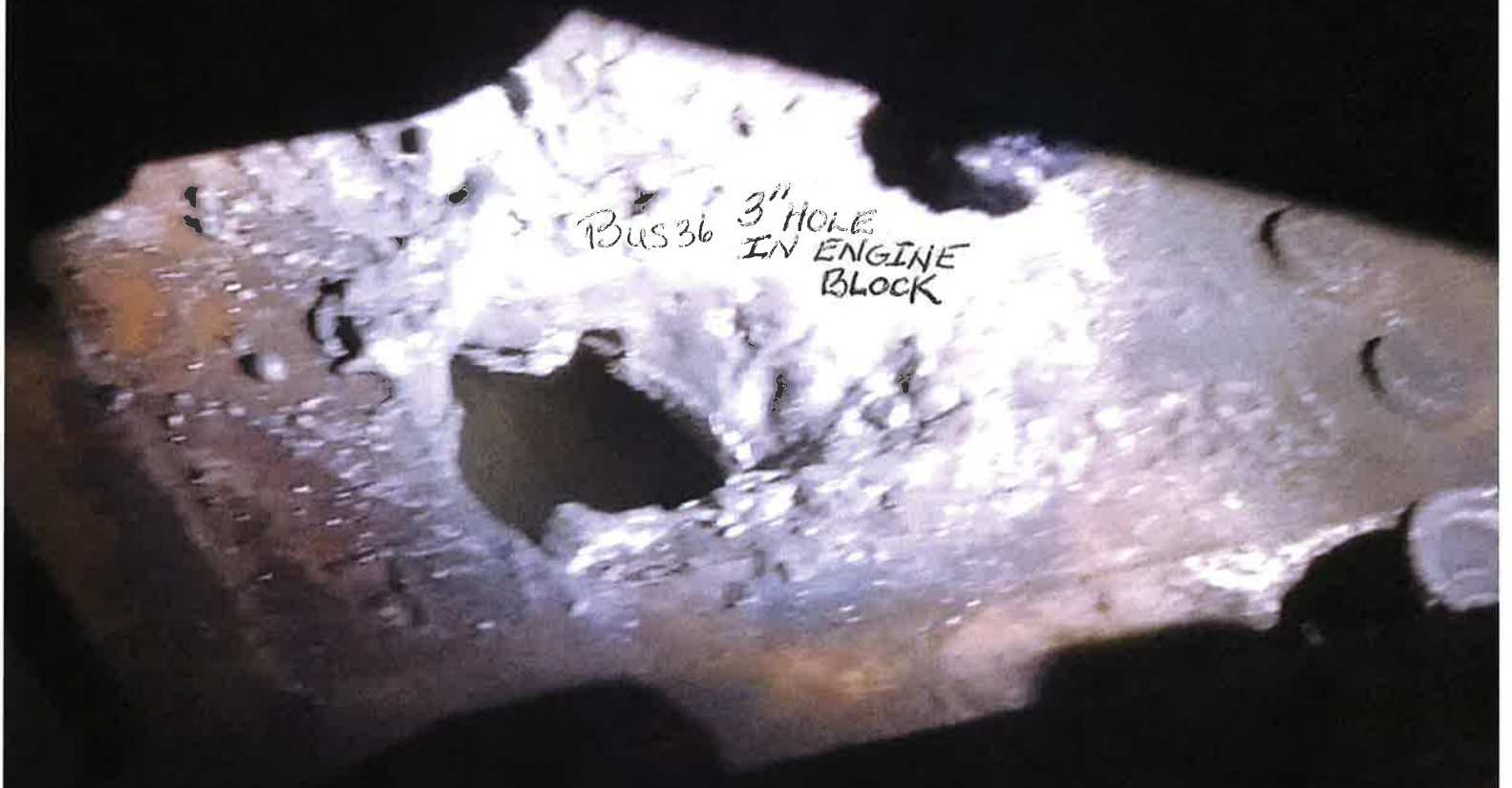
<https://newsroom.mohegansun.com/2019/10/02/mohegan-suns-award-winning-back-of-house-is-back-for-more/>



36

BUS 36

BUS 36 3" HOLE
IN ENGINE
BLOCK




CAPITAL EXPENDITURE REQUEST

1) CER # _____ 2) DEPARTMENT: Transportation

3) REQUESTED BY: Derrick R. Williams 4) DATE REQUESTED 12/2/2019

5) PROJECT COORDINATOR: Derrick R. Williams

6) PROJECT TITLE: New Shuttle Bus

7) Type of Project

- Increase Existing Business
- Maintain Existing Business
- Cost Reduction
- Safety/Regulatory
- Other

Return on Investment
Please attach calculation

Net Present Value
Internal Rate of Return _____%

8) Budget Source

- Capital Budget
- Substitution


Contingency
Note: If substitution, attach list of projects to be eliminated

9) Planned Start Date: _____ Planned Completion Date: _____

10) Cost 11) Timing of Spending

Equipment Cost	\$	158,000	Prior Quarters	\$
Installation Cost	\$		First Quarter	
Freight	\$		Second Quarter	\$
Sales Tax	\$	12,245.00	Third Quarter	170,245
Total Project Cost	\$		Fourth Quarter	\$
TOTAL PROJECT COSTS	\$	170,245	Subsequent Quarters	\$

12) Approvals for Annual Capital Budget

<u>Name</u>	<u>Title</u>	<u>Date</u>
Derrick R. Williams 	Requestor <i>Director of Transp.</i>	12/2/2019
Daniel Webster	Sr. VP/VP Requesting Department	
	Director of Financial Accounting	
	Chief Financial Officer	
	Assistant General Manager	
	President/General Manager	

13) Approval for Installation - VP of Facilities

14) Engineering Installation Cost Estimate

15) PROJECT DESCRIPTION/DETAILS:
New Shuttle Bus to replace bus 36 under the Volkswagen Settlement

Volkswagen will pay \$158,000.00 of the total cost of the unit.

16) BENEFITS / JUSTIFICATION:

17) ALTERNATIVES

18) MISCELLANEOUS

A) IS EQUIPMENT NEW OR REPLACEMENT

IF REPLACEMENT: ATTACH ASSET DISPOSAL FORM

B) LIST ALL RELATED EXPENSES WHICH WILL HIT YOUR OPERATING STATEMENTS (E.G., MAINTENANCE CONTRACTS, SUPPLIES, ETC.)

19) PRIORITY

20) ESTIMATE SOURCE

<u>STRATEGIC INITIATIVES</u>	<u>PRIORITY</u>
M Maintain Existing business	A Must have in FY
R Revenue enhancement	B Should have but may be
E Expense Reducing	C Nice to have, can delay
S Safety/ Regulatory	